

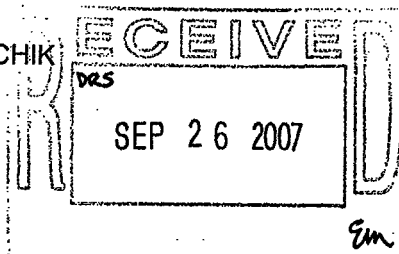


# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
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U.S. APPLICATION NUMBER NO. 10/587,876	FIRST NAMED APPLICANT Claudia Lemmel	ATTY. DOCKET NO. BB-171
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23557  
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INTERNATIONAL APPLICATION NO. PCT/EP05/00873	
I.A. FILING DATE 01/28/2005	PRIORITY DATE 01/28/2004

CONFIRMATION NO. 2541  
371 FORMALITIES LETTER  
\*OC00000025973681\*

Date Mailed: 09/24/2007

## NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Indication of Small Entity Status
- Priority Document
- Copy of the International Application filed on 07/27/2006
- Copy of the International Search Report filed on 07/27/2006
- Copy of IPE Report filed on 05/04/2007
- Preliminary Amendments filed on 07/27/2006
- Information Disclosure Statements filed on 11/15/2006
- Biochemical Sequence Diskette filed on 05/04/2007
- Oath or Declaration filed on 05/04/2007
- Biochemical Sequence Listing filed on 05/04/2007
- Request for Immediate Examination filed on 07/27/2006
- U.S. Basic National Fees filed on 07/27/2006
- Priority Documents filed on 07/27/2006

Applicant's response filed 05/04/2007 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 04/18/2007 have not been completed.

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under

**37 CFR 1.136(a).**

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

**For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:**

- **For Rules Interpretation, call (571) 272-0951**
- **For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.**
- **Send e-mail correspondence for Patentin Software Program Help @ [ebc@uspto.gov](mailto:ebc@uspto.gov)**

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

CHARITTA A BURT

Telephone: (703) 308-9140 EXT 207

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/587,876	PCT/EP05/00873	BB-171

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Sequence Listing could not be accepted due to errors.

See attached Validation Report.

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If you need help call the Patent Electronic Business Center at (866)  
217-9197 (toll free).

Reviewer: Durrreshwar Anjum

Timestamp: Wed Jun 06 09:49:10 EDT 2007

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Reviewer Comments:

Seq Id (31) Number of input bases do match the with number of bases put  
in <211>

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	)	Confirmation No. 2541
	)	
Lemmel et al.	)	Examiner: Unassigned
	)	
Serial No.: 10/587,876	)	
	)	
Filed: July 27, 2006	)	
	)	
For: Method for Identifying and Quantifying	)	
of Tumor-Associated Peptides	)	

Mail Stop Missing Requirements  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE

Sir:

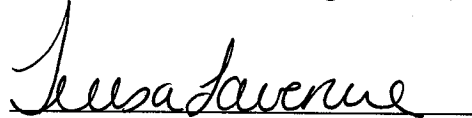
This is in response to the Notification of Defective Response mailed September 24, 2007.

A response is due on or before October 24, 2007. Applicants hereby submit the following:

1. Copy of Notification of Defective Response;
2. Statement to Support Filing and Submission in Accordance with 37 CFR 1.821-1.825; and
3. Electronic copy of Sequence Listing.

No fee is believed to be due. However, the Commissioner is authorized to charge any fees due or credit any overpayment to Deposit Account No. 09-0528 (Order No. 58550/0011.5)

Respectfully submitted,  
Womble Carlyle Sandridge & Rice, PPLC



Teresa A. Lavenue  
Registration No. 47,737  
Phone: (703) 394-2273  
Fax: (703) 790-2623

Date: October 17, 2007

P.O. Box 7037  
Atlanta, GA 30357-0037  
Customer No.: 26158

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	)	Confirmation No. 2541
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Mail Stop Sequence Listing  
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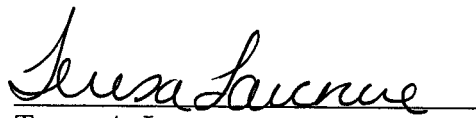
STATEMENT TO SUPPORT FILING AND SUBMISSION IN  
ACCORDANCE WITH 37 C.F.R. §§1.821-1.825

Sir:

In connection with the Sequence Listing submitted herewith, the undersigned hereby states that:

1. The submission, filed herewith in accordance with 37 C.F.R. §1.821(g) does not include new matter; and
2. The content of the electronically filed sequence listing is submitted in accordance with 37 C.F.R. §1.821(e).

Respectfully submitted,  
Womble Carlyle Sandridge & Rice, PPLC

  
Teresa A. Lavenue  
Registration No. 47,737  
Phone: (703) 394-2273  
Fax: (703) 790-2623

Date: October 17, 2007

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